

# Erasmus+

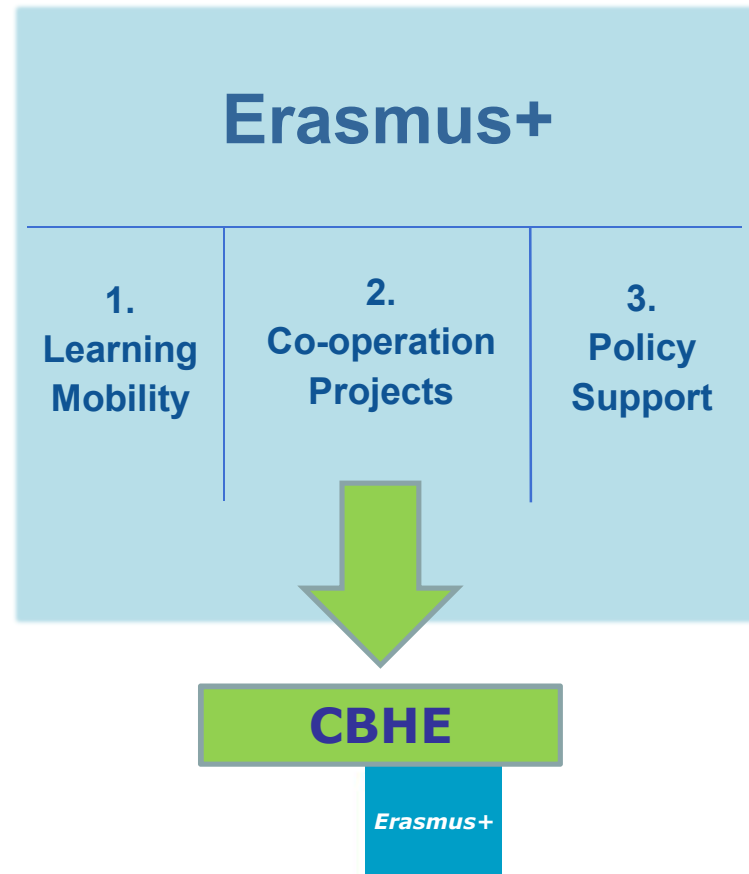
## *Capacity Building for Higher Education*





# Where to find CBHE in Erasmus+

A single integrated programme



*Specific activities:*

- **Jean Monnet**
- **Sport**



## Definition

***Capacity-Building Projects*** are transnational cooperation projects based on **multilateral partnerships** primarily between **higher education institutions (HEIs)** from Programme and eligible Partner Countries



# Programme and Partner Countries

## Programme Countries (33 countries paying a contribution to E+)

### EU Member States:

Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, United Kingdom

### Other programme countries:

Iceland, Liechtenstein, Norway, former Yugoslav Republic of Macedonia, Turkey.

## CBHE Eligible Partner Countries (> 150 countries)

- Region 1: Western Balkans
- Region 2: Eastern Partnership
- Region 3: South-Mediterranean
- Region 4: Russian Federation
- Region 6: Asia
- Region 7: Central Asia
- Region 8: Latin America
- Region 9: Iran, Iraq, Yemen
- Region 10: South Africa
- Region 11: African, Caribbean and Pacific

## **Ineligible Partner countries:**

Regions 5, 12 and 13



# Objectives

Build capacity and help modernise higher education institutions in Partner Countries, to ensure a structural, long-lasting impact

- Support modernisation & internationalisation
- Improve quality
- Improve the level of competences and skills
- Enhance management, governance in HEIs
- Promote people-to-people contacts, intercultural understanding
- Voluntary convergence with EU HE policy developments

# Types of projects

- 1. Joint Projects:** New curricula, joint degrees, learning and teaching methodologies, staff development, quality assurance, governance, Bologna tools
- 2. Structural Projects:** Reforms at national level with the support of the authorities in the Partner Countries (policy modernisation, Bologna policies, governance and management of higher education systems...)



## CBHE: how does it work ?

- Projects of 2 or 3 years
- Projects run by consortium of institutions
- Consortium must include Programme Countries and Partner Countries
- Project can be coordinated by an institution from a Programme or a Partner Country



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# Consortia Structure

## National Projects

(**1** Partner Country only +  
**min. 3** Programme Countries)

**STRUCTURAL  
PROJECTS:**  
Partner  
Country  
Ministries  
for HE must  
participate

## Multi-Country Projects

(**≥ 2** Partner Countries +  
**min. 3** Programme Countries)

Min.1 HEI from each  
Programme Country

Min.1 HEI from each  
Programme Country

Min.3 HEI from the  
Partner Country

Min.2 HEI from **each**  
Partner Country

**At least** as many  
Partner Country HEIs as  
Programme Country  
HEIs

**At least** as many  
Partner Country HEIs as  
Programme Country HEIs

Exceptions

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# Priorities and project types

## National Projects

Defined by the **Ministries of Education** in close consultation with the EU Delegations

Must address  
**National priorities** set for Partner Country in Regions 1, 2, 3, 7, 10  
**Regional priorities** for the regions where no national priorities are established: Regions 6, 8, 9, 11

## Multi-Country Projects

Defined by the **Commission** and based on EU's external policy priorities

Must address  
the **regional priorities** for countries in the same region (regional projects)  
or  
**regional / national priority common** to different regions (cross-regional projects)

## Budget and duration

**Duration 24  
or 36  
Months**

**Min. 500,000 -  
Max. 1,000,000 €**

**Excluding  
Mobility  
Strand**

**Real Costs  
and Unit  
Costs**

**5 Budget  
Headings**



# Special Mobility Strand

## Partner Countries eligible (ONLY):

- Region 1: Western Balkans
- Region 2: Eastern Partnership countries
- Region 3: South-Mediterranean countries

## For whom?

- **Students** registered in HEIs involved in project consortia
- **Staff** employed in a HEI or enterprise in project consortia

**Programme Country** to **Programme Country** flows are **ineligible**

## Conditions:

- Mobility should be instrumental and integrated in projects objectives (no mobility on its own)
- Added value and/or innovative character of the activities proposed
- Comply with provisions of Erasmus Charter for Higher Education

# Budget categories

<b>Staff costs (max 40%)</b>	<b>4 Staff Categories</b> (Manager, Researcher/ Teacher/Trainer, Technician, Administrator)
<b>Travel costs</b>	<b>Students/staff from partners</b> in countries involved in the project from their place of origin to the venue of the activity and return.
<b>Costs of stay</b>	Subsistence, accommodation, local and public transport, personal or optional health insurance.
<b>Equipment (max 30%)</b>	Purchased <u>exclusively</u> for the benefit of HEIs in the Partner Countries
<b>Sub-contracting (max 10%)</b>	Exceptional for services related to competences that can't be found in the consortia



## Budget allocation and justification

### 5 Budget Categories

Staff - UC

Travel - UC

Cost of Stay - UC

Equipment - RC

Sub-contracting - RC

### 2 Allocation / Justification Methods

Real Costs (RC)

Unit Costs (UC)

### Other types of costs

(ex.: indirect costs etc.) are not considered for the calculation of the grant.

>>> Expected to be **covered by co-funding.**



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# Selection results 2016

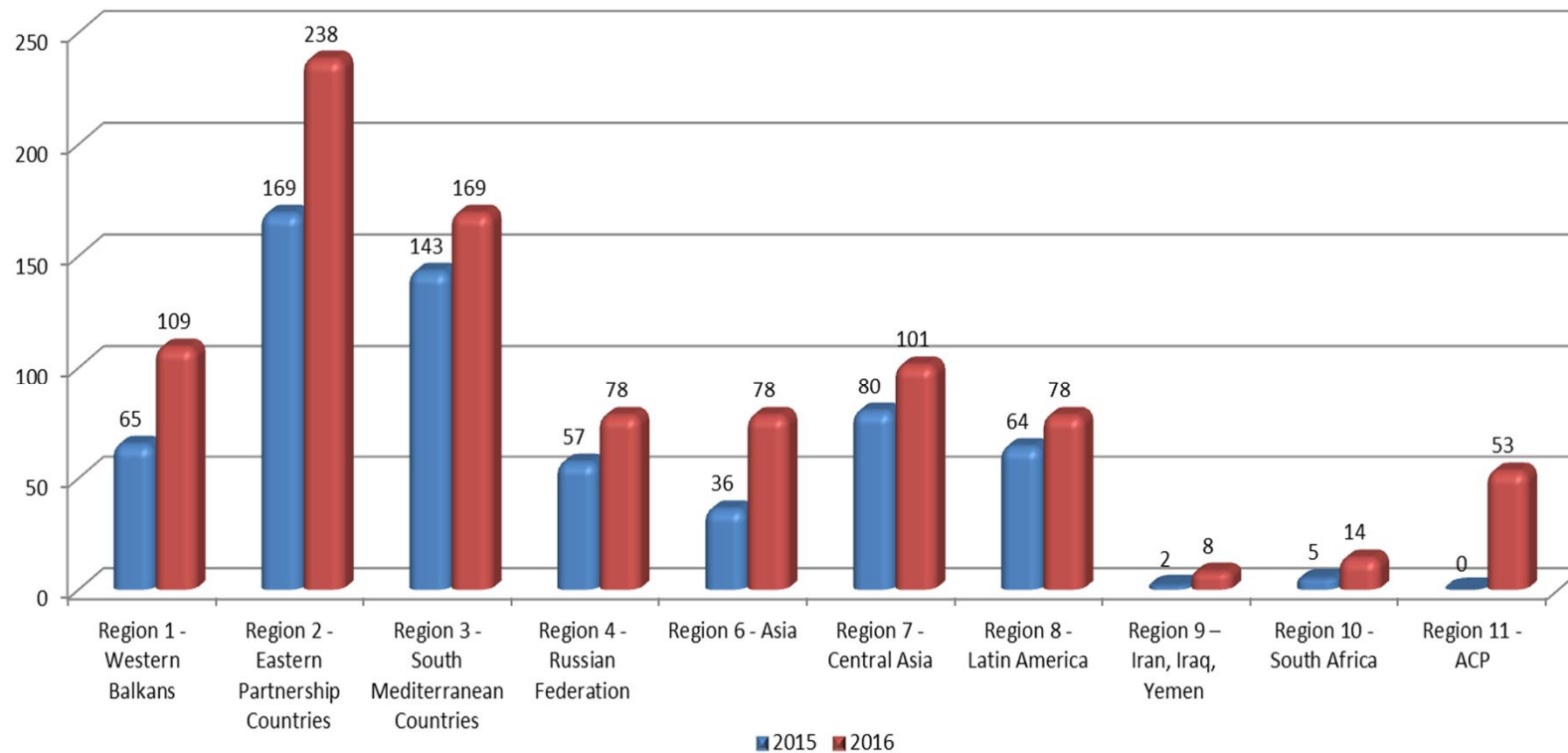
Stage	1 Western Balkans	2 Eastern Partner ship	3 South Med	4 Russian Federation	6 Asia	7 Central Asia	8 Latin America	9 Iran, Iraq, Yemen	10 South Africa	11 ACP	Total*
Reception	109	238	169	78	78	101	78	8	14	53	736
Eligible	93	219	146	77	68	93	72	8	13	42	653
Sent to Consultation	37	64	80	35	57	49	31	5	6	19	287
Proposed for funding	18	24	36	16	53	19	14	4	5	9	147
Success rate	17%	10%	21%	21%	68%	19%	18%	50%	36%	17%	20%
(compared to 2015)	(15) +20%	(23) +4%	(40) -10%	(13) +23%	(27) +96 %	(23) -17%	(19) -26%	(2) +100 %	(4) +25%	(0) -	(140) +5%

\* The number of projects by region cannot be added considering that a project can cover different regions.



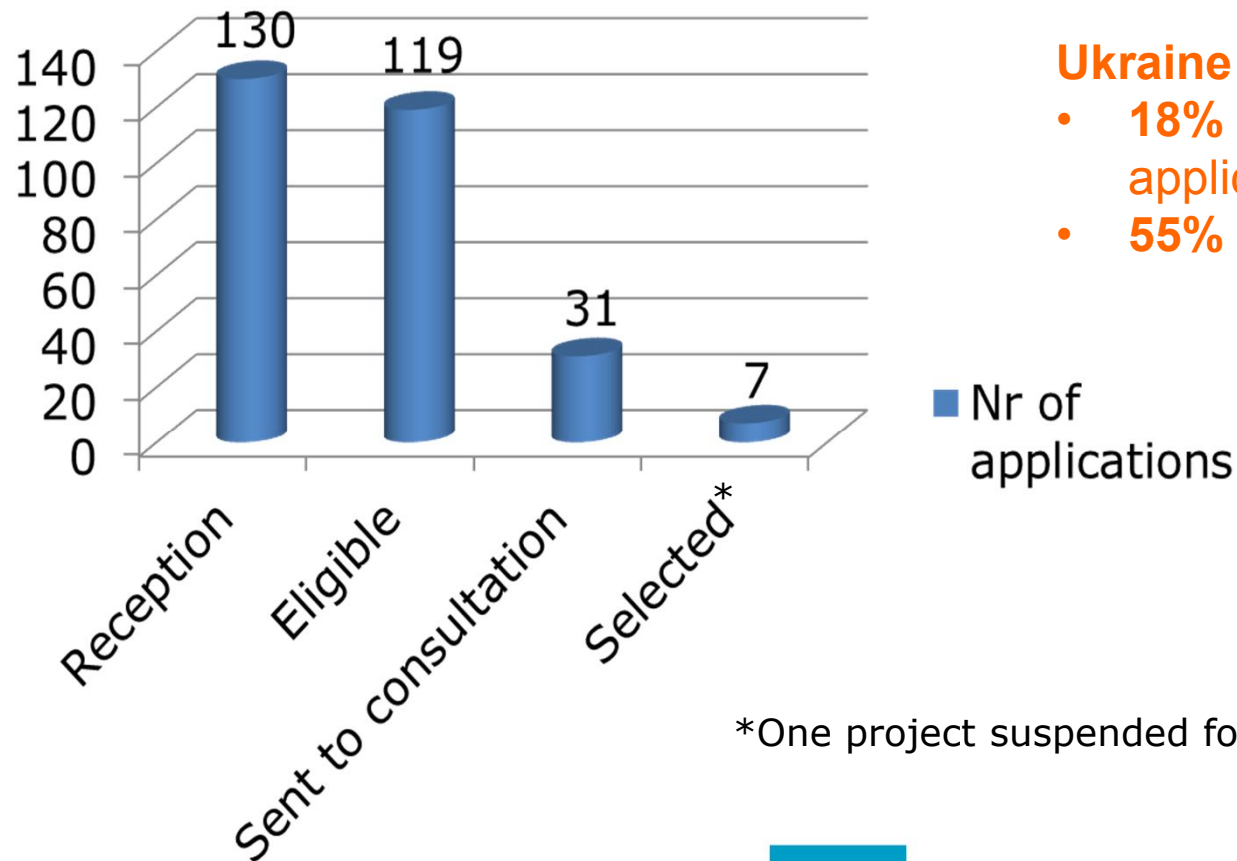
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# Evolution of applications (2015-2016)



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## Selection results 2016 - Ukraine



### Ukraine involved in

- 18% of all submitted applications
- 55% of EaP applications

\*One project suspended for eligibility reasons





## Lessons Learned

- ✓ Relatively high percentage of **ineligible applications** due to insufficient understanding of minimum requirements for consortia;
- ✓ **National/regional priorities** established for the Partner Country **need to be respected** and matched with the local needs (assessed in award criterion 'relevance');
- ✓ A need to mobilise **new institutions** both in Programme and Partner Countries, **as grant holders and/or partners**;
- ✓ Outreach **beyond the capital cities** to institutions in the **regions** and the **periphery**;
- ✓ Lack of innovative approaches (**new content and methodologies**);



## Key messages – 3rd Call

- ✓ **Diversify the type of applicants** involving new institutions;
- ✓ Intensify efforts to encourage the **cooperation with Asian countries**;
- ✓ Disseminate widely the opportunity to **cooperate with ACP countries**;
- ✓ Pay particular attention to the **eligibility criteria**, mainly minimum number of nationally recognised HEIs in consortia;
- ✓ Respect strictly the **national / regional priorities** addressed to each Partner Country affecting the score on Relevance (threshold of 50% must be reached to pass to the next selection stages);
- ✓ **Involve the Partner Country partners** from the early stages of the proposal preparation.



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# Indicative Budget and Calendar 2017

Region	Budget allocated 2016 (in Mio €)	Budget allocated 2017 (Indicative) (in Mio €)	Indicative number of selected projects under the assumption of national projects)
REGION 1 - Western Balkans	13,17	13,82	17
REGION 2 - Eastern Partnership countries	13,86	13,51	16
REGION 3 - South-Mediterranean countries	28,57	27,84	35
<i>Additional allocation for Tunisia*</i>	0	3,00	4
REGION 4 - Russian Federation	6,89	6,72	8
REGION 6 - Asia	35,38	39,55	48
REGION 7 - Central Asia	9,2	8,80	11
REGION 8 - Latin America	13,1	13,20	16
REGION 9 - Iran, Iraq, Yemen	1,9	2,00	3
	0,69	0,69	
REGION 10 - South Africa	3,42	3,91	5
REGION 11 - ACP countries	5,29	5,64	8
<b>TOTAL</b>	<b>131,47</b>	<b>138,68</b>	

\*An additional allocation for Tunisia might become available subject to the relevant Commission decisions being taken.



# Indicative roadmap and selection process - CBHE

Steps	Date
Publication Erasmus+ CBHE Call for Proposals	October 2016
Deadline for submission of applications	<b>9 February 2017</b>
Verification of eligibility of project proposals	February – March 2017
Assessment of projects by experts (remotely)	March – May 2017
Consultation procedure	June 2017
Evaluation Committee for selection of projects	July 2017
Sending for signature of Award decision by Agency AO	July 2017
Notification of applicants & publication of results	July 2017
Preparation and signature of grant agreements	August-September 2017
Start of eligibility period	15 October 2017



# What is assessed?

- **Eligibility criteria**

Formal submission requirements; Grant size and duration; Applicant, Partner and Partnership requirements (number of partners, status of the grant applicant & partners, etc)

- **Exclusion & selection criteria**

Bankruptcy, fraud, Legal status of applicant (ex: natural persons cannot apply), Financial capacity (private companies only)

- **Award criteria**

Relevance, Quality and design + implementation, Quality of the team + cooperation, Impact and sustainability



# Award Decision

**EACEA takes decision based on:**

**Evaluation Committee's recommendation**  
, taking into account:

*ranking list on quality established by external experts*

*the results from the consultation process*

*the budget available for each region*

*the need to achieve a geographical balance within a region*

*sufficient coverage of the priorities*



# CBHE Information sources

## **Erasmus+ website - EACEA**

[http://eacea.ec.europa.eu/erasmus-plus\\_en](http://eacea.ec.europa.eu/erasmus-plus_en)

## **Erasmus+ website – EU Commission**

[http://ec.europa.eu/programmes/erasmus-plus/index\\_en.htm](http://ec.europa.eu/programmes/erasmus-plus/index_en.htm)

## **International E+ Contact Points (ICPs) in Programme Countries**

[https://eacea.ec.europa.eu/erasmus-plus/contacts/international-erasmus-plus-contact-points\\_en](https://eacea.ec.europa.eu/erasmus-plus/contacts/international-erasmus-plus-contact-points_en)

## **National Erasmus+ Offices (NEOs) in certain Partner Countries (PCs)**

[https://eacea.ec.europa.eu/erasmus-plus/contacts/national-erasmus-plus-offices\\_en](https://eacea.ec.europa.eu/erasmus-plus/contacts/national-erasmus-plus-offices_en)